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## TRANSMITTAL FORM

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Total Number of Pages in This Submission

54

Application Number

10/059,697

Filing Date

January 29, 2002

First Named Inventor

Stefan Keller-Tuberg

Art Unit

2157

Examiner Name

Sargon N. Nano

Attorney Docket Number

135924

### ENCLOSURES (Check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form                             | <input type="checkbox"/> Drawing(s)                                       | <input type="checkbox"/> After Allowance Communication to TC                            |
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Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Alcatel

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*Bobby D. Slaton*

Printed name

Bobby D. Slaton

Date

November 15, 2005

Reg. No.

43,130

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant(s):** Stefan Keller-Tuberg  
**Serial No.:** 10/059,697  
**Filed:** January 29, 2002  
**Title:** Facilitating Improved Reliability of Internet Group Management Protocol Through the Use of Acknowledge Messages

**Docket:** 135924  
**Art Unit:** 2157  
**Examiner:** Sargon N. Nano

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 & 1.98**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**Certificate Under 37 C.F.R. §1.8(a)**

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Melanie Murdock

In the matter of the above-identified application, Applicant is submitting herewith a copy of a communication from a foreign patent office in a counterpart foreign application and copies of the documents listed in the attached form equivalent to Form PTO-1449 for the Examiner's consideration.

This Information Disclosure statement is being submitted:

- ☐ 1) within three months of the filing date
- ☐ 2) within three months of the date of entry of the national phase
- ☐ 3) before the mailing date of a first Office Action on the merits
- ☒ 4) before the mailing date of either a Final Action or a Notice of Allowance and is accompanied by
  - ☐ a) the fee of \$\_\_\_\_\_ set forth in 37 CFR 1.17(p).
  - ☒ b) the following certification specified in 37 CFR 1.97(e).

On information and belief, I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

- ☒ 1) Each of the documents listed on the attached form equivalent to Form PTO-1449 is in the English language.
- ☐ 2) To the extent the documents listed on the attached form equivalent to Form PTO-1449 are not in the English language, the requirement of 37 CFR 1.98(a) (3) for a concise explanation of the relevance is satisfied by:

Serial No.: 10/059,697  
Examiner: Sargon N. Nano

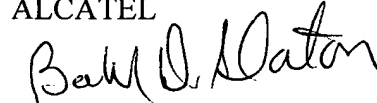
- ☐ 1) an English language version or translation of the foreign patent office report citing the documents.
- ☐ 2) the following remarks.
- ☐ 3) the discussion of these documents in the specification.

It is respectfully requested that this Information Disclosure Statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, includes extension of time fees, to the Deposit Account No. 50-0838 of Alcatel USA, and please credit any excess fees to such Deposit Account.

Respectfully submitted,

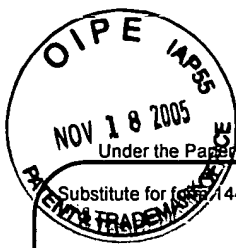
ALCATEL



Bobby D. Slaton  
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Dated: November 15, 2005

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/059,697
Filing Date	January 29, 2002
First Named Inventor	Stefan Keller-Tuberg
Art Unit	2157
Examiner Name	Sargon N. Nano
Attorney Docket Number	135924

Sheet

1

of

1

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	A	XYLOMENOS, et al.; IP Multicasting for Point-to-Point Local Distribution; INFOCOM '97. Sixteenth Annual Joint Conference of the IEEE Computer and Communications Societies. Proceedings IEEE; 7-11 April 1997; pp. 380 – 1387, Vol. 3	
	B	VARSHNEY, et al.; Architectural Issues to Support Multicasting Over Wireless and Mobile Networks; IEEE Wireless Communications and Networking Conference; 21-24 Sept. 1999; pp. 41-45; Vol. 1	
	C	LIU, et al.; A Scalable Multicast Routing; MILCOM 97 Proceedings; 2-5 Nov. 1997; pp. 983-987; Vol. 2	
	D	W. FENNER; Internet Group Management Protocol, Version 2; Network Working Group; IETF; Request for Comments 2236; November 1997; pp. 1-24	
	E	CAIN, et al.; Internet Group Management Protocol, Version 3; Network Working Group; IETF; Request for Comments 3376; October 2002; pp. 1-53	

Examiner  
Signature

Date

Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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